

10/588113

1AP5 Rec'd PCT/PTO 31 JUL 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Osamu MIZUNO et al. : Mail Stop: PCT

Serial No. NEW : Attorney Docket No. 2006_1200A

Filed July 31, 2006 :

OPTICAL HEAD AND OPTICAL DISK
DEVICE

[Corresponding to PCT/JP2005/012091
Filed June 30, 2005]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request
consideration of the references listed on attached form PTO-1449 and any additional information
identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449
is enclosed, except a copy is not provided for:

- each U.S. Patent and U.S. Patent application publication;
- each reference previously cited in the international application
PCT/_____; and/or
- each reference previously cited in prior parent application Serial No.
_____.

1a. This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the
above-entitled application, or

ATTACHMENT D

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before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. This Information Disclosure Statement is submitted
after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:
(1) the certification of paragraph 2 below is provided, **or**
(2) the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. This Information Disclosure Statement is submitted:
after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:
the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

- a. that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

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3. Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
 - a. a full or partial English language translation submitted herewith,
 - b. an International Search Report (in the English language) submitted herewith,
 - c. the concise explanation contained in the specification of the present application at page 3,
 - d. the concise explanation set forth in the attached English language abstract,
 - e. the concise explanation set forth below or on a separate sheet attached to the reference:

JP 2003-338069 corresponds to US 2003/0021219

WO 2003/055053 corresponds to US 2004/0076089

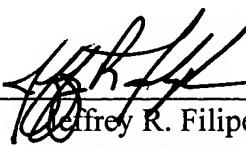
JP 2000-155979 corresponds to US 6,661,750, US 6,856,584 and US
6,430,137

5. an International Search Report citing one or more of the references is enclosed.

Respectfully submitted,

Osamu MIZUNO et al.

By



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INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)			ATTY DOCKET NO. 2006_1200A	SERIAL NO. NEW 10/588113
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			APPLICANT Osamu MIZUNO et al.	
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)			IAP5 Rec'd PCT/PTO 31 JUL 2006	
Date Submitted to PTO: July 31, 2006			FILING DATE July 31, 2006	GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2003/0021219	1-30-03	Nagai			
	AB	2004/0076089	4-22-04	Kurita et al.			
	AC	6,430,137	8-6-02	Saimi et al.			
	AD	6,661,750	12-9-03	Saimi et al.			
	AE	6,856,584	2-15-05	Saimi et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AF	3505525	12-19-03	Japan			abstract
	AG	2002-352449	12-6-02	Japan			abstract
	AH	2003-338069	11-28-03	Japan			abstract
	AI	10-3687	1-6-98	Japan			abstract
	AJ	2004-39068	2-5-04	Japan			abstract
	AK	03/055053	7-3-03	WO			abstract
	AL	11-37160	2-9-99	Japan			abstract
	AM	2000-155979	6-6-00	Japan			abstract
	AN	7-57276	3-3-95	Japan			abstract
	AO	2004-77705	3-11-04	Japan			abstract

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	
	AQ	

EXAMINER

DATE CONSIDERED